

UTILITY PATENT APPLICATION TRANSMITTAL
(For new Non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No.: BER6209P0012
First Named Inventor: Steven E. Berkheimer
Express Mail Label No. EL 904 813 455 US

Box PATENT APPLICATION
Commissioner For Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a new utility patent application of inventor(s): **Steven E. Berkheimer** and entitled: **System and Method for Archiving and Outputting Documents or Graphical Items**. For purposes of publication, this patent application is assigned to: **None**.

Application Elements:

1. ☒ Applicant claims small entity status (See 37 CFR 1.27.)
2. ☒ Specification containing 50 pages.
3. ☒ Drawings: 5 Sheets of ☐ formal drawings ☒ informal drawings
4. ☒ Oath or Declaration
 - a. ☐ An executed declaration or oath for the utility patent application including a power of attorney,
 - b. ☒ An unexecuted declaration or oath for the utility patent application including a power of attorney;
 - c. ☐ Copy from a prior application (37 CFR 1.63(d), for continuation/divisional with No. 17 completed.
 - i. ☐ Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Application Data Sheet (See 37 CFR 1.76.)
6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix).
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary).
 - ☐ Computer Readable Form (CFR)
 - ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - ☐ Statements verifying identity of above copies.

Accompanying Application Parts:

8. ☐ Assignment Papers (cover sheet, document(s) and requisite fee).

9. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)
☐ Power of Attorney
10. ☐ English Translation document (if applicable)
11. ☐ Information Disclosure Statement (IDS), including PTO-1449
☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Postcard for PTO Mail Room Date Stamp (should be specifically itemized).
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed).
15. ☒ Request and Certification For Nonpublication Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

16. ☒ Other This application claims the benefit of Provisional Application Ser. No. 60/240,179 filed 10/13/2000

17. ☐ If Continuing Application, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____, filed _____.

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4c, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Fee Calculation The filing fee has been calculated as shown below:

| Small Entity | | | | | | Large Entity | |
|-----------------------------------|-----------|-------------|-----------|------------|----------|--------------|----------|
| For | No. Filed | No. Allowed | No. Extra | Rate | Fee | Rate | Fee |
| Basic Fee | | | | | \$370.00 | | \$740.00 |
| Total Claims | 28 | - 20 = | 8 | x \$9.00 | \$72.00 | x \$18.00 | \$ |
| Indep. Claims | 3 | - 3 = | 0 | x \$42.00 | \$0 | x \$84.00 | \$ |
| Multiple Dependent Claims Present | | | | + \$140.00 | \$ | + | \$ |
| | | | | | | \$280.00 | \$ |
| | | | | TOTAL | \$442.00 | TOTAL | \$ |

18. ☒ A check in the amount of \$ _____ to cover the filing fee is enclosed. **No check is submitted with this application and the filing fee should NOT be charged to undersigned attorney's deposit account.**

19. ☐ Please charge my Deposit Account No. 04-1644 in the amount of \$_____.
20. ☐ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 04-1644:
- ☐ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.
 - ☐ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.
 - ☐ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 04-1644, and the Commissioner is authorized to so charge the Deposit Account.

21. **Correspondence Address:** ROCKEY, MILNAMOW & KATZ, LTD.
Two Prudential Plaza
180 North Stetson, Suite 4700
Chicago, Illinois 60601
Telephone: (312) 616-5400
Facsimile: (312) 616-5460

Customer Number (01942)
and/or Bar Code Label:



01942

PATENT TRADEMARK OFFICE

Date: October 15, 2001 Attorney's Signature 
Paul M. Vargo, Reg. No. 29,116

CERTIFICATE OF MAILING BY EXPRESS MAIL

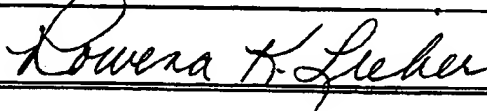
I hereby certify that this Utility Patent Application Transmittal, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and addressed to Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Express Mail Label No.: EL 746 436 811 US

Date of Deposit: October 12, 2001

Typed/Printed Name of Person Signing: Rowena K. Lieber

Signature:



CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label Number EL 904 813 455US

Date of Deposit: October 15, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR

1.10(c) on the date indicated above and is addressed to:

**Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231**

Case Number: BER6209P0012US

Serial No. New Application

Filing Date: October 15, 2001

Inventors: Steven E. Berkheimer

For: "System and Method for Archiving and Outputting Documents or Graphical Items"

Enclosed are the following documents:

Certificate of Mailing by Express Mail; Application Data Sheet; Non-Publication Notice; Utility Patent Application Transmittal (3 pages), in duplicate, with no check for \$ attached; and the application consisting of 45 pages of specification; 4 pages of claims comprising 28 claims; 1 page of the Abstract of the Disclosure; 5 sheets of informal drawings; an unexecuted Declaration and Power of Attorney consisting of 3 pages; and a prepaid post card for acknowledgment of receipt by the mail room of the USPTO.


Signature of person mailing documents

09977503-101501

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. §122(b)(2)(B)(i)**

First Named Inventor:

Steven E. Berkheimer

Title: System and Method for Archiving and Outputting
Documents or Graphical Items

Atty. Docket No.

BER6209P0012

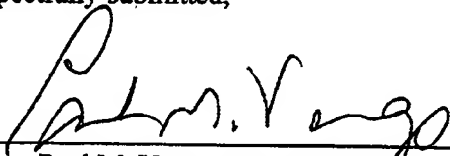
Commissioner For Patents
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of any application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application not be published under 35 U.S.C. §122(b). This Request has been executed in compliance with 37 C.F.R. §1.33(b).

Respectfully submitted,

By


Paul M. Vargo, Reg. No. 29,176

ROCKEY, MILNAMOW & KATZ, LTD.
Two Prudential Plaza
180 North Stetson Avenue, Suite 4700
Chicago, Illinois 60601
312/616-5400
October 12, 2001

This request must be signed in compliance with 37 C.F.R. §1.33(b) and submitted with the application upon filing. Applicant may rescind this non-publication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. §122(b), the application will be scheduled for publication at eighteen (18) months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen (18) months after filing, the application must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. §122(b)(2)(B)(iii)).